PATENT APPLICA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

MARGOLIS, David, et al.

Divisional of Appln. No. 09/210,578

Confirmation No.: Not Yet Assigned

Filed: August 20, 2001

INTEGRATIVE PROTEIN-DNA COCHLEATE FORMULATIONS AND METHODS For:

FOR TRANSFORMING CELLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form which are all the references of record in parent application No. 09/210,578. Applicant is not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)) Copies of any cited copending applications, if not previously submitted, are being submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

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